1975.

FACULTAD ECONOMÍA Y NEGOCIOS
UNIVERSIDAD DE CHILE
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UNIVERSIDAD DE CHILE
CONTRALORIA UNIVERSITARIA
CURSA CON ALCANCE
OF. N° 572 20 NOV 2018

UNIVERSIDAD DE CHILE CONTRALORIA INGRESO 16 NOV 2018

UNIVERSIDAD DE CHILE
LEGALIDAD CONTROLADA
20 NOV 2018
CONTRALORIA

AUTORIZA TRATO DIRECTO, DE FORMA EXCEPCIONAL, PARA LA CONTRATACIÓN DE RELATOR THORSTEN HARALD LEOPOLD BECK PARA REALIZAR CHARLA EN 4TH CONFERENCE ON BANKING DEVELOPMENT, STABILITY, AND SUSTAINABILITIY, POR RAZONES QUE INDICA.

RESOLUCIÓN N° CHC.0199.18

SANTIAGO, Noviembre 15 de 2018

VISTOS:

1.- Lo dispuesto en los artículos 7 letra c), 8 letra g), de la Ley N° 19.886, de Bases sobre Contratos Administrativos de Suministro y Prestación de Servicios.

2.- Lo dispuesto en el artículo 10 N° 7 letra k), del Decreto N° 250, de 2004, del Ministerio de Hacienda, que aprueba el Reglamento de la Ley N° 19.886, de Bases sobre Contratos Administrativos de Suministro y Prestación de Servicios.

3.- Lo dispuesto en la Ley N° 19.880, que establece las Bases de los procedimientos administrativos que rigen los actos de los órganos de la administración del Estado.

4.- Lo dispuesto en la Ley N° 21.094 sobre Universidades Estatales.

5.- Lo dispuesto en Decretos Universitarios N°s 2045 de 2017; 1183, 1867 y 1982 de 2018, y en la Resolución N° 1600 de 2008, de la Contraloría General de la República.

CONSIDERANDO:

1.- Que, la Escuela de Postgrado de la Facultad de Economía y Negocios de la Universidad de Chile, requiere contratar al profesor Thorsten Harald Leopold Beck para que participe en la 4ta Conference on Banking Development, Stablitily, and Sustaninability, evento que se desarrollará en el Aula Magna de la mencionada Facultad, organizada en conjunto con la Superintendencia de Bancos e Instituciones Financieras (SBIF), la Association of Supervisors of Banks of the Americas (ASBA) y la Inter American Development Bank (IDB).

2.- Que, para dar cumplimiento a los objetivos específicamente de carácter académico y de investigación, es de gran importancia que los alumnos participantes de los programas de Magister de la Escuela de Postgrado participen de esta actividad, en el área de Banca y Finanzas.

3.- Que, el señor Beck es Profesor Titular, en el área de Bancas y Finanzas de la Facultad de Finanzas en Cass Business School, Inglaterra. Cuenta con una amplia trayectoria como docente, además de pertenecer al top 1% de economistas más citados del mundo de acuerdo al ranking Repec, según se puede constatar en informe que se adjunta.

4.- Que, dicho requerimiento fue autorizado por el Coordinador Administrativo de la Escuela de Postgrado, según consta en Formulario de Solicitud de Compra y Disponibilidad Presupuestaria, de fecha noviembre 15 de 2018, por hasta US$4.700.- (cuatro mil setecientos dólares americanos), más gastos correspondientes a la transferencia electrónica.

5.- Que, de acuerdo a lo señalado en el artículo 51, del Reglamento de la Ley de Compras, los tratos directos que se realicen en virtud de lo dispuesto en el artículo 10 requerirán de un mínimo de tres cotizaciones de diferentes proveedores, con excepción de aquellos tratos o contrataciones directas contenidas en los números 3, 4, 6 y 7.

6.- Que, el artículo 10, numeral 7, contempla la procedencia del trato directo, cuando por la naturaleza de la negociación existan circunstancias o características del contrato que hagan del todo indispensable acudir al Trato o Contratación Directa, de acuerdo a los casos y criterios que se señalan: letra K) estipula: "Cuando se trate de la compra de bienes y/o contratación de servicios que se encuentren destinados a la ejecución de proyectos específicos o singulares, de docencia, investigación o extensión, en que la utilización del procedimiento de licitación pública pueda poner en riesgo el objeto y la eficacia del proyecto de que se trata, en estos casos, las entidades determinarán por medio de una resolución, disponible en el sistema de información, los procedimientos internos que permitan resguardar la eficiencia, transparencia, publicidad, igualdad y no discriminación arbitraria en esta clase de adquisiciones".

7.- Que, mediante Resolución Exenta Universitaria N° 00300, de 31 de marzo del 2010 la Universidad de Chile fijó el procedimiento que reglamenta la compra de bienes y/o contratación de servicios destinados a la ejecución de proyectos específicos o singulares, de docencia, investigación o extensión. En el número 3) del artículo 3° de dicha resolución, contempla la aplicación de este procedimiento, cuando la especialización ofrecida por un determinado proveedor en compra de bienes o contrataciones de servicios específicos y selectos, acontecimientos que se dan este contexto particular, en atención a lo informado por el Profesor Asistente de la Facultad de Economía y Negocios, señor Erwin Hansen Silva.

8.- Que, debido a las particulares características de la contratación y a que quien provee el servicio requerido corresponde a un proveedor extranjero no inscrito en el registro de proveedores del Estado, se hace imposible para esta Facultad efectuar el proceso de compras a través del portal www.mercadopublico.cl, debiendo gestionarse en conformidad a lo establecido por el artículo 62 N° 6 del Decreto 250 de 2004 del Ministerio de Hacienda.

9.- Que, atendiendo lo descrito en el considerando previo y a que el monto convenido a pagar por los servicios no supera las 1.000 UTM, no se estima necesaria la suscripción de contrato entre las partes, sin perjuicio de lo cual se entenderá como parte integrante del presente acto y de la ejecución de la prestación, los términos de referencia y la propuesta del proveedor adjunta.

10.- Que, la Facultad de Economía y Negocios de la Universidad de Chile cuenta con los fondos necesarios para proceder con la contratación requerida. Por tanto;

R E S U E L V O:

1.- Autorícese la contratación realizada a través de la modalidad excepcional de trato directo, según la causal contemplada en la parte considerativa y términos de referencia adjuntos al presente acto, al experto a continuación individualizado, para el desarrollo de la charla "Banking Development, Stability and Sustainability", pudiendo efectuarse la contratación fuera del Sistema de Información de la Dirección de Compras y Contratación Pública, por los fundamentos expuestos en los considerandos previos del presente acto administrativo, de acuerdo al siguiente detalle:

Nombre Relator	: Thorsten Harald Leopold Beck
Nacionalidad	: Alemana
Dirección de Residencia	: 61B Belsize Park Gardens, London, NW3 4JN, United Kingdon
Ciudad, País	: London, UK
N° Pasaporte	: C4YM1NHWW
Fecha de Nacimiento	: Noviembre 19 de 1967

2.- Autorícese el pago por la suma de US$4.700.- (cuatro mil setecientos dólares americanos), más gastos correspondientes a transferencia electrónica, realizada según los siguientes datos:

Nombre del beneficiario	: Thorsten Harald Leopold Beck
Nombre del banco	: Barclays Bank
IBAN	: GB90 BUKB 2032 0653 7721 01
Dirección del banco	: 128 Moorgate, London EC2M 6SX
Moneda	: Dólares
SWIFT	: BUKB GB22

3.- Impútese el gasto correspondiente, al Título A, ítem 2.6 del presupuesto universitario vigente, con cargo al presupuesto de la Facultad de Economía y Negocios de la Universidad de Chile.

ANÓTESE, COMUNÍQUESE Y ARCHÍVESE.

UNIVERSIDAD DE CHILE * VICE-DECANO * FACULTAD DE ECONOMIA Y NEGOCIOS

ENRIQUE MANZUR MOBAREC
Vicedecano

UNIVERSIDAD DE CHILE * DECANO * FACULTAD DE ECONOMIA Y NEGOCIOS

JOSE DE GREGORIO
Decano

CAROL YAÑEZ VILOS
Director Económico y Administrativo

FACULTAD DE ECONOMIA Y NEGOCIOS * Director Económico y Administrativo * U. DE CHILE

Distribución:
1.- Contraloría Universidad de Chile.
2.- Dirección Económica y Administrativa-FEN.
3.- Unidad de Tesorería-FEN.
4.- Escuela de Postgrado -FEN
5.- Unidad de Adquisiciones-FEN.

Formulario de Solicitud de Compras y Contrataciones y Certificado Disponibilidad Presupuestaria

Fecha de Solicitud: 15-11-2018

ÍTEM I: IDENTIFICACIÓN UNIDAD REQUIRENTE

Unidad Solicitante:	Responsable de la contratación:	Cargo:
FEN Gabinete Escuela de Postgrado	Abel Curiche Painemal	Coordinador Administrativo Postgrado

ÍTEM II: ANTECEDENTES GENERALES DE LA SOLICITUD

Nombre de la Adquisición (Indicar solo nombre, NO detalles)

"Charla Banking Development, Stability and Sustainability, prof. Sr. Thorsten Harald Leopold Beck"

Está incorporada en Plan Anual de Compras: SI

Objetivo de la Compra y Detalles

El objetivo de este trato directo es la contratación del profesor Sr. Thorsten Harald Leopold Beck, para dictar charla "Banking Development, Stability and Sustainability".

¿Solicita Renovar un contrato vigente?	Indicar N° y Fecha Decreto que aprueba contrato anterior:	Monto estimado adquisición: (Impuestos incluidos)	4.700
		Moneda:	US$

Imputar Gasto a: FEN Gabinete Escuela de Postgrado

Forma de Contratación -) Art. 10 N° 7, letra k) Proyectos específicos de docencia, investigación o extensión (S/Cotizacior

¿Consultó previmente convenio marco?

IMPORTANTE: Si habiendo consultado el Convenio Marco, la compra o contratación NO se hará por este medio, indique las razones fundadas, acompañadas de una análisis técnico y/o económico, por las cuales su opción NO es el Convenio Marco. Este argumento se incluirá en la Resolución que irá a Control de Legalidad y se remitirá a la Dirección de ChileCompra. **Si no se adjunta esta información, la solicitud será rechazada.**

Fundamento:la contratación del profesor Sr. Thorsten Harald Leopold Beck, para dictar charla "Banking Development, Stability and Sustainability". Se fundamenta por su alto grado de experiencia como profesor y consultor a nivel internacional.

ÍTEM III: COTIZACIONES

Debe adjuntar 3 cotizaciones cuando la contratación:
1. Es menor a 3 UTM
2. Corresponde a Art. 10 N° 1, 2, 5 y 8

Debe adjuntar 1 cotización cuando la contratación:
1. Es menor a 3 UTM y es realizada por fuera del portal
2. Corresponde a Art. 10 N° 3, 4, 6 y 7

ÍTEM IV: CERTIFICADO DISPONIBILIDAD PRESUPUESTARIA

De conformidad al presupuesto aprobado para esta institución por Decreto Universitario Afecto N°1183 de 2018. Certifico que a la fecha del presente documento, la institución cuenta con el presupuesto para el financiamiento de los servicios/productos "Charla Banking Development, Stability and Sustainability, prof. Sr. Thorsten Harald Leopold Beck"", con cargo a la Escuela de Postgrado por un monto bruto de US$4.700.- (cuatro mil setecientos dólares).

En el caso de tratarse de una Transferencia, indicar:

Nombre Beneficiario	**Thorsten Harald Leopold Beck**
Nombre del Banco	**Barclays Bank**
Cuenta Corriente Nº	**GB90 BUKB 2032 0653 7721 01**
	128 Moorgate, London EC2M 6SX
Dirección del Banco	
Moneda	
SWITH	**BUKB GB22**
ABA	
Iban	***GB90 BUKB 2032 0653 7721 01***

Breve descripción del motivo de la transferencia

Se adjunta detalle para mayor información

Abel Curiche Painemal
Coordinador Administrativo Escuela de Postgrado

FACULTAD ECONOMÍA Y NEGOCIOS
UNIVERSIDAD DE CHILE

ANEXO N° 3 - B

TÉRMINOS DE REFERENCIA TRATO DIRECTO "Charla Banking Development, Stability and Sustainability, prof. Sr. Thorsten Harald Leopold Beck"
POR MONTO INFERIORES A 1.000 UTM

1. **Objetivo General:**
El objetivo de este trato directo es la contratación del profesor Sr. Thorsten Harald Leopold Beck, para dictar charla "Banking Development, Stability and Sustainability". Se adjunta Certificado del Profesor Erwin Hansen Silva

2. **Productos o servicios requeridos:**
Dictar charla "Banking Development, Stability and Sustainability.

3. **Monto de la adquisición o contratación:**
US$4.700.-

4. **Garantía de seriedad de la oferta:**
No aplica.

5. **Garantía de fiel y oportuno cumplimiento del contrato:**
No aplica.

6. **Multas:**

7. **Vigencia del contrato:**
Resolución inmediata.

8. **Causales de término anticipado del contrato:**
Las que establece la Facultad como procedimiento, para este tipo de contratación.

9. **Forma y modalidad de pago:**
El pago se realizará, recibida a conformidad por la Unidad solicitante. Con transferencia bancaria.

10. **Contraparte técnica:**
Prof. Erwin Hansen Silva. Profesor Asistente área de Finanzas.

11. **Necesidad de formalizar contrato:**
Mediante el envío de la orden de compra y su aceptación por el adjudicatario.

12. **Datos del proveedor:**
Thorsten Harald Leopold Beck

Abel Curiche Painemal
Coordinador Administrativo Escuela de Postgrado

Santiago, 15 de noviembre de 2018

4th Conference on Banking Development, Stability, and Sustainability

Superintendencia de Bancos e Instituciones Financieras (SBIF)
Association of Supervisors of Banks of the Americas (ASBA)
Inter American Development Bank (IDB)
Facultad de Economía y Negocios de la Universidad de Chile (FEN)

The conference will take place on Friday **November 16, 2018 in Santiago, Chile** at the Facultad de Economía y Negocios de la Universidad de Chile, Diagonal Paraguay 257 (https://goo.gl/maps/i4SgcskLz2G2), Santiago, Chile. As with previous editions (2015 (http://90thconference.sbif.cl/index.html), 2016 (http://conference.sbif.cl/2016/index.html) and 2017 (http://conference.sbif.cl/2017/index.html)), this conference aims to bring together academics, practitioners, and policymakers sharing interests in banking stability and sustainability. It also provides a forum for presenting new research as well as discussing current and challenging issues on financial stability and related topics. More information and updates will be published at this website.

TOPICS

The Conference provides an instance to discuss a wide range of topics in the area of banking stability, and sustainability; covering topics such as the impact of the implementation of the new Basel capital and liquidity frameworks, new banking resolution mechanisms, the use of stress testing in managing risks, the challenges of new technological development, cyber-security, banking supervision, financial inclusion, and environmentally-conscious banking.

CONFERENCE AGENDA

Hour	Activity
8:30-9:00	Registration and welcome coffee.
9:00-9:15	Welcome address: Jose De Gregorio, Dean of the Faculty of Economics and Business, Universidad de Chile
9:15-9:30	Welcome address: Mario Farren, Superintendent of Banks and Financial Institutions of Chile (SBIF)
9:30-10:20	Guest Speaker: Brad Carr, Senior Director, Digital Finance Regulation and Policy; Institute of International Finance: *"Beyond Basel III: digital disruption and new regulatory paradigms"*
10:20-10:40	Coffee break
10:40-11:50	**Fintech panel discussion - "Fintech, digital banking, and the cybersecurity dilemma"** Moderator: Rosario Celedon, Vice President, Financial Market Commission (CMF Chile) Panelists: Tania Ziegler, Alternative Finance Center, University of Cambridge Diego Herrera, Connectivity, Markets and Finance Lead Specialist, Inter-American Development Bank Belline Santana, Deputy Head of the Banking Supervision Department, Banco Central do Brasil (Brazil)
11:50-12:10	Coffee Break
12:10-13:00	Guest Speaker: Thorsten Beck, Full Professor of Banking and Finance; Cass Business School, University of London: *"Post-crisis regulatory reform - where do we stand?"*
13:00-14:15	Lunch
14:20-16:00	Academic discussion sessions

Session 1	Session 2	Session 3
INTERBANK LENDING *Chair: Christian Larrain, Financial Market Commission (CMF)* *Room: P303*	**ELIMINATING MARKET DISTORTIONS AND ASYMMETRICAL INFORMATION** *Chair: Alberto Naudon, Central Bank of Chile* *Room: P304*	**MARKET DISCIPLINE** *Chair: Sebastian Claro, Universidad Católica de Chile* *Room: P305*
Interbank Network Disruptions and the Real Economy. Dasha Safonova– U.S. Securities and Exchange Commission. *Discussant: Markus Kirchner, Central Bank of Chile*	Estimating the Information Component in Switching Costs: A Structural Approach Santiago Truffa, Shaisha Kulkarni, Gonzalo Iberti– Tulane University, UC Berkely and Superintendence of Banks and Financial Institutions of Chile (SBIF) *Discussant: Carlos Noton, Universidad de Chile*	Debt holder monitoring and implicit guarantees: Did the BRRD improve market discipline? Jannic Alexander Cutura– Goethe University Frankfurt *Discussant: Roberto Alvarez, Universidad de Chile*
Financial (In)Stability in Chile. E. Kazakova, Juan Francisco Martinez, M. U. Peiris, and D. P. Tsomocos; National Research University Higher School of Economics, Russian Federation; Central Bank of Chile; University of Oxford *Discussant: Cristian Echeverria, Universidad del Desarrollo*	Measuring the Effects of Information on Credit Market Competition Fritz Foley, Agustin Hurtado, Andrés Liberman, Alberto Sepulveda - Harvard Business School; University of Chicago Booth School of Business; New York University; Superintendence of Banks and Financial Institutions of Chile (SBIF) *Discussant: Alejandro Micco, Universidad de Chile*	Banks' Business Model and Supply of Credit in Chile Antonio Lemus, Miguel Birón, Felipe Córdova - Superintendence of Banks and Financial Institutions of Chile (SBIF), Central Bank of Chile *Discussant: Carlos Madeira, Central Bank of Chile*

16:00-16:20	Coffee Break
16:20-18:00	Academic discussion sessions

Session 4	Session 5	Session 6
SYSTEMIC RISK *Chair: Kevin Cowan, Financial Market Commission (CMF)* *Room: P303*	**COMPETITION** *Chair: Mauricio Larrain, Financial Market Commission (CMF)* *Room: P304*	**CAPITAL ADEQUACY** *Chair: Luis Figueroa, SBIF* *Room: P305*
The (un)desired Effects of Government Bailouts: the Impact of TARP on the Interbank Market and Bank Risk-taking Patrick Behr, Weichao Wang; Brazilian School of Public and Business Administration (EBAPE), Getúlio Vargas Foundation *Discussant: Alejandra Marinovic, Universidad Adolfo Ibáñez*	Modeling demand deposits and interest rate risk sharing: Lessons from the Mexican banking regulation Mariela Dal Borgo. Bank of Mexico *Discussant: Alfredo Pistelli, Superintendence of Banks and Financial Institutions of Chile (SBIF)*	Optimal Capital Requirement with Noisy Signals on Banking Risk Kai Ding, Enoch Hill, David Perez-Reyna – California State University, East Bay; Wheaton College, Universidad de los Andes de Colombia *Discussant: Claudio Soto, Banco Santander*
Multibank Holding Companies and Bank Stability Radoslav Raykov and Consuelo Silva-Buston; Bank of Canada; Pontificia Universidad de Católica de Chile *Discussant: Eric Parrado, Universidad de los Andes*	Banking Competition and the Cost of Credit for Firms: Evidence from Loan-level data in Chile. Erwin Hansen; Miguel A. Urbina- Facultad de Economía y Negocios, Universidad de Chile; Superintendence of Banks and Financial Institutions of Chile (SBIF) *Discussant: Fernando Diaz, Universidad Diego Portales*	U.S. Stress Tests and Bank Risk-Taking: Evidence from the Syndicated Loan Market. Elis Deriantino Naiborhu- Bank Indonesia and University of Warwick *Discussant: Andrés Sagner, Central Bank of Chile*

18:30	Closing cocktail reception (by invitation only)

CONFERENCES CHAIRS

Dr. Nancy Silva, Superintendencia de Bancos e Instituciones Financieras.

Dr. Erwin Hansen, Facultad de Economía y Negocios, Universidad de Chile.

Rudy Araujo, Association of Supervisors of Banks of the Americas (ASBA).

Cesar Tamayo, Inter American Development Bank.

ASK ME ABOUT THE CONFERENCE

General inquiries regarding the conference should be directed to our email address (research [@] sbif.cl). Further information and updates will be provided in this website.

PREVIOUS CONFERENCES

Please feel free to browse the websites of previous versions of the **Banking Development, Stability and Sustainability Conference**:

- 1st Conference - 2015
- 2nd Conference - 2016
- 3rd Conference - 2017

CERTIFICADO

Yo, Erwin Hansen Silva, Profesor Asistente del área de Finanzas, de la Escuela de Postgrado, organismo dependiente de la Facultad de Economía y Negocios de la Universidad de Chile; requiero como profesor al Sr. Thorsten Harald Leopold Beck para dictar charla "Banking Development, Stability and Sustainability". Por este medio se certifica que la contratación de la persona antes individualizada responde a resolver estas materias, las cuales fueron en consideración de los siguientes criterios:

1. Ph.D. in Economics The University of Virginia, USA
2. Diplom in Economics en Universität Tübingen, Alemania
3. Profesor Titular, áreas de Banca y Finanzas, en Cass Business School, Inglaterra.
4. Pertenece al top 1% de economistas más citados del mundo de acuerdo al ranking Repec.
5. Por su experiencia como Profesor Titular en el Departamento de Economía en la Universidad de Tilburg en Holanda.
6. Por su experiencia como Economista Senior en el Departamento de Investigación del Banco Mundial.
7. Por su experiencia como consultor en International Finance Corporation del Banco Mundial, Banco Central Europeo, Banco de Inglaterra, Banco Interamericano de Desarrollo, OECD, Fondo Monetario Internacional, Banco Asiatico de Desarrollo, Ministerio de Economia y Energia de Alemania, Departamento de Desarrollo Internacional de Inglaterra, Ministerio de Relaciones Exteriores de Holanda, entre otros.
8. Por su experiencia como Editor de revistas académicas como Economic Policy, Review of Finance, Journal of Financial Intermediation, Journal of Financial Stability, World Bank Economic Review, entre otros.

UNIVERSIDAD DE CHILE
FACULTAD DE ECONOMÍA Y NEGOCIOS
DEPARTAMENTO DE ADMINISTRACIÓN

Erwin Hansen Silva
Profesor Asistente (Área de Finanzas)
Escuela de Postgrado - Facultad de Economía y Negocios
Universidad de Chile

Santiago, 13 de noviembre 2018

Thorsten Beck

Office Address:
Cass Business School
106 Bunhill Row
London EC1Y 8TZ
UK

Home Address:
61B Belsize Park Gardens
London, NW3 4JN
UK

United Kingdom
Remo Lewis.

e-mail: TBeck@city.ac.uk
web: http://www.thorstenbeck.com

Citizenship: German
Date of Birth: November 19, 1967
Marital status: married to Fabiola Martinez, two sons (Carsten and Thomas)

EDUCATION:

Ph.D. in Economics, University of Virginia, 1999
Diplom in Economics (equivalent to M.A.), Universität Tübingen, Germany, 1995
Exchange student, University of Kansas, 1991
Exchange student, Universidad de Costa Rica, 1992

AREAS OF INTEREST:

Corporate Finance
Banking
Access to Financial Services
Financial Safety Net

FELLOWSHIPS:

Centre for Economic Policy Research, since 2009
Centre for Financial Studies, Frankfurt, 2008-2011
CESifo Research Network, since 2014
Centre for Finance, Credit and Macroeconomics, Nottingham, since 2011
Visiting Research Fellow, Hong Kong Institute for Monetary Research, 2011
Visiting Professor, University of Sydney, July 2016

EMPLOYMENT:

9/2013 -

Cass Business School
Full Professor, Faculty of Finance

- Teaching at MSc, MBA and PhD level
- Supervision of undergraduate, MSc, MBA and PhD theses

8/2008 – 8/2014

Tilburg University
Full Professor, Department of Economics
Chairman of Board, European Banking Center, 2008-13

- Founding chairman of research center that combines over 30 researchers from Economics, Finance and Law departments of Tilburg University, 2008 – 2013
- Lead researcher for DFID-funded research project on Finance and Productivity in Low-Income Countries, 2013-17
- Lead researcher for EFSE Impact Study in Armenia, Montenegro and Serbia, 2013-15
- Teaching at undergraduate, graduate and PhD level
- Supervision of MSc, research master and PhD theses

6/1997 – 7/2008

World Bank, Washington, D.C.
Senior Economist (since July 2003), Development Research Group (2001 - 2008)
Young Professional, 2nd assignment in the Finance Unit, Africa Region (2000/2001)
Young Professional, 1st assignment in the Financial Sector Strategy and Policy Group (1999/2000)
Consultant in the Development Research Group, Finance Team (1997-99)

- Supervised a team of research assistants
- Co-editor of Interest Bearing Notes newsletter, 2002 - 2008; PSD Blog author 2007/2008

European Commission:

- Bailing out the banks: reconciling stability and competition (joint with Diane Coyle, Mathias Dewatripont, Xavier Freixas and Paul Seabright); policy report on state aid to financial institutions during crisis for DG Competition, 2009
- Survey on financial markets (joint with Elena Carletti and Itay Goldstein) for the COEURE Coordination Action, 2015

European Investment Bank

- Background paper for on Structural changes in European financial systems: The impact of the regulatory framework on investment in the European Union

OECD:

- Contribution and presentation to Roundtable on Bank Competition and Financial Stability

Bank Commission of Mexico:

- Access indicators; background work for Financial Access Report

IMF:

- Paper for High-Level Seminar on Natural Resources, Development and Finance in Algiers, November 2010
- Advisor for Board paper on Financial Development in Low-income Countries, 2011/12
- Preparation of case studies for course on financial development and inclusion, 2016
- Research consultant, 2016, 2018

FSD Kenya:

- FinAccess Survey 2009, analytical paper and presentation at launch event in Nairobi

European Fund for South and East Europe

- Feasibility study for development impact research project, 2012

ODI

- Survey paper on Finance for Development: A Research Agenda, in context of DIFD-ESRC growth research programme, 2013

Rabo Development and FMO:

- Effectiveness Study NMB Tanzania and Zanaco, 2014

Asian Development Bank

- Financial Inclusion in Asia: An Overview, Background paper for Asian Development Outlook (joint with Meghana Ayyagari)) 2014/2015

German Federal Ministry for Economic Affairs and Energy:

- Capital market financing in Germany, 2015

eBay:

- Study on welfare enhancing nature of innovative financial technology, 2015

FSD Africa:

- Impact Evaluation with the Financial Sector Deepening Trusts, 2015
- Long-term finance in Africa: developing a scoreboard and country diagnostic tool, 2016

Department for International Development, UK

- Review paper on Finance, Institutions, and Development in context of Economic Development and Institutions (EDI) Research Programme, 2015

Dutch Ministry of Foreign Affairs:

- Evaluation of the Dutch Good Growth Fund, Team Leader, 2015/16

Nathans

- Financial sector adviser, 2015/16

EDITORIAL RESPONSIBILITIES:

- (Co)-Managing Editor, Economic Policy, since 2014
- (Co)-Editor, Review of Finance, 2015-17
- Associate Editor, Review of Finance, 2014
- Associate Editor, Journal of Financial Intermediation, since 2010
- Advisory Board, Journal of Financial Stability, since 2010
- Member of Editorial Board, World Bank Economic Review, 2012-2014
- Panel member, Economic Policy, 2011/12

- Co-organizer of World Bank conference on Bank Regulation and Corporate Finance: Challenges for the Future (joint with Journal of Financial Intermediation), 2006; Co-editor of special section in Journal of Financial Intermediation, October 2008
- Co-organizer of World Bank conference on Access to Finance (joint with World Bank Economic Review), 2007; Co- editor of special issue in World Bank Economic Review, Fall 2008
- Co-organizer of World Bank conference on Partial Credit Guarantees – Experiences and Lessons (joint with Journal of Financial Stability), 2008; Co- editor of special issue in Journal of Financial Stability
- Co-organizer of World Bank conference on Small Business Finance – What Works, What Doesn't?, 2008
- Co-organizer of conference on Financial Stability: Causes, Consequences and Policy, at Tilburg University, June 2009.
- Co-organizer of annual Development Workshop at Tilburg University, 2009 - 2017
- Co-organizer of EBC-CEPR conference on Procyclicality and Financial Regulation, at Tilburg University, March 2010
- Co-organizer of EBC-CEPR-HEC-RoF conference on Financial Intermediation and Real Economy, in Paris, August 2011
- Co-organizer of EBC-Vanderbilt Law School-SFI conference on Financial Regulation in Uncertain Times in Lugano, December 2011
- Co-organizer of DNB-EBC-JMCB-KU conference on Post-Crisis Banking in Amsterdam, June 2012; Co-editor of special issue of Journal of Money, Credit and Banking, forthcoming.
- Co-organizer of Fifteenth Annual International Banking Conference in Chicago, November 2012 (Joint Federal Reserve Bank of Chicago and CEPR)
- Co-organizer of DNB-EBC-JEDC conference on The Role of Financial Intermediaries in Monetary Policy Transmission in Amsterdam, June 2013; Co-editor of special issue of Journal of Economic Dynamics and Control, forthcoming.
- Co-organizer of BIS conference on Global Financial Interconnectness 2015
- Program Co-Chairman of 8th Annual Conference of the Financial Intermediation Research Society in Dubrovnik, June 2013
- Co-organizer of CEPR-EBC-EBRD-RoF conference on Understanding Banks in Emerging Markets: Observing, Asking or Experimenting? in London, September 2013
- Co-organizer of Federal Reserve Bank Dallas-IMF and JMCB conference on Housing, Stability, and the Macroeconomy: International Perspectives in Dallas, TX in November 2013
- Co-organizer of World Bank conference on Finance and Development: The Unfinished Agenda, 2016.
- Member of Program Committee of Financial Intermediation Research Society's conferences on Banking, Insurance and Intermediation, 2004 (Capri, Italy), 2006 (Shanghai, China), 2008 (Anchorage, Alaska), 2009 (Prague), 2010 (Florence), 2011 (Sydney), 2012 (Minneapolis) and 2013 (Dubrovnik), 2014 (Quebec City); JFI conference on Finance, Growth and the Structure of Economy in 2008 (Barcelona), EBC/SNB conference in Hasliberg in 2009, CEPR/EBC/UA Conference on Bank Competition in Antwerp, 2009; University of St. Gallen and CEPR conference on Finance and the Real Economy, 2012; CESifo Conference on the Banking Sector and the State, 2012.
- Organizer of Financial Economics Seminar in the World Bank, 2001 - 2003

PUBLICATION IMPACT AND RANKING (April 2012):

- Total number of citations: 2,321 (source: Web of Science, author level, excluding self-citations).
- H-index: 19 (source: Web of Science, author level).
- Ranked to be in top 1% of economists worldwide and top 1% in the Netherlands (source: RePEc, on the basis of number of citations discounted by citation age), top ranked researcher with 15 years or less post-PhD experience on RePEc (August 2013).

MAIN PUBLICATIONS:

"Payment Instruemts, Finance and Development" (joint with Haki Pamuk, Ravindra Ramrattan and Buras Uras), *Journal of Development Economics*, forthcoming.

"The Influence of Financial and Legal Institutions on Firm Size" (joint with Aslı Demirgüç-Kunt and Vojislav Maksimovic), *Journal of Banking and Finance* 30, 2995 – 3015, 2006

"SMEs, Growth, and Poverty: Cross-Country Evidence" (joint with Aslı Demirgüç-Kunt and Ross Levine), *Journal of Economic Growth* 10,197-227, 2005

"Bank Privatization and Performance: Empirical Evidence from Nigeria" (joint with Robert Cull, and Afeikhena Jerome), *Journal of Banking and Finance* 29, 2355-2379, 2005

"State Bank Transformation in Brazil, Choices and Consequence" (joint with Juan Miguel Crivelli, and William Summerhill), *Journal of Banking and Finance* 29, 2223- 2257, 2005

"Financial and Legal Constraints to Firm Growth: Does Firm Size Matter?" (joint with Aslı Demirgüç-Kunt and Vojislav Maksimovic), *Journal of Finance* 60, 137-177, 2005

"Bank Competition and Access to Finance: International Evidence" (joint with Aslı Demirgüç-Kunt and Vojislav Maksimovic), *Journal of Money, Credit and Banking* 36, 627-648, 2004

"Stock Markets, Banks, and Growth: Panel Evidence" (joint with Ross Levine), *Journal of Banking and Finance* 28, 423-442, 2004.

"Law, Endowments, and Finance" (joint with Aslı Demirgüç-Kunt and Ross Levine), *Journal of Financial Economics* 70, 137-181, 2003

"Law and Finance: Why Does Legal Origin Matter?" (joint with Aslı Demirgüç-Kunt and Ross Levine), *Journal of Comparative Economics* 31, 653-675, 2003

"Industry Growth and Capital Allocation: Does Having a Market- or Bank-Based System Matter?" (joint with Ross Levine), *Journal of Financial Economics* 64, 147-180,2002

"Financial Development and International Trade. Is There a Link?", *Journal of International Economics* 57, 107-131, 2002

"Finance and the Sources of Growth" (joint with Ross Levine and Norman Loayza), *Journal of Financial Economics* 58, 261-300, 2000

"Financial Intermediation and Growth: Causality and Causes" (joint with Ross Levine and Norman Loayza), *Journal of Monetary Economics* 46, 31-77, 2000

OTHER JOURNAL PUBLICATIONS:

"Entrepreneurial Saving Practices and Reinvestment: Theory and Evidence" (joint with Haki Pumak and Burak Uras), *Review of Development Economics*, 2017, 21, 1205-28.

"Individual vs. village lending: Evidence from Montenegro" (joint with Patrick Behr), *Review of Development Economics*, 2017, 21,e67-e87.

"Supranational Supervision: How Much and For Whom?" (joint with Wolf Wagner), *International Journal of Central Banking* 12, 221-68, 2016

"A Conference on Housing, Stability and the Macroeconomy: International Perspectives" (joint with Rabah Arezki, Robert deYoung, John Duca, Prakash Loungani, and Anthony Murphy), *Journal of Money, Credit and Banking, 2015*

"Cross-Border Banking and Financial Deepening: The African Experience", *Journal of African Economies* 24, i32-i45, 2015

"Is More Finance Better? Disentangling Intermediation and Size Effects of Financial Systems"(joint with Hans Degryse and Christiane Kneer), *Journal of Financial Stability* 10. 50-64, 2014

"Access to Finance – An Unfinished Agenda" (joint with Aslı Demirgüç-Kunt), *World Bank Economic Review* 22, 383-96 2008

"Special Issue: Banking and Bank Regulation: Challenges for the Future" (joint with Luc Laeven, Ross Levine and George Pennacchi), *Journal of Financial Intermediation* 17, 509/10, 2008.

"The Basic Analytics of Access to Financial Services" (joint with Augusto de la Torre), *Financial Markets, Institutions and Instruments*, 16, 79-117 2007

"Small and Medium Enterprises across the Globe" (joint with Meghana Ayyagari and Aslı Demirgüç-Kunt), *Small Business Economics* 29, 415-34, 2007

"Financial Intermediary Development and Growth Volatility: Do Intermediaries Dampen or Magnify Shocks" (joint with Mattias Lundberg and Giovanni Majnoni), *Journal of International Money and Finance* 25,1146-67, 2006

"The Determinants of Financing Obstacles" (joint with Aslı Demirgüç-Kunt, Luc Laeven and Vojislav Maksimovic), *Journal of International Money and Finance* 25, 932 – 952, 2006

"Small and Medium-Sized Enterprises: Access to Finance as a Growth Constraint" (joint with Aslı Demirgüç-Kunt), *Journal of Banking and Finance* 30, 2931-2943, 2006

"Law and Firms' Access to Finance" (joint with Aslı Demirgüç-Kunt and Ross Levine), *American Law and Economics Review* 7, 211-252, 2005

"Financial Dependence and International Trade", *Review of International Economics* 11, 296-316, *2003*

"Economic, Demographic, and Institutional Determinants of Life Insurance Consumption across Countries" (joint with Ian Webb), *World Bank Economic Review* 17, 51-88, 2003

"Stock Markets, Banks and Economic Development: Theory and Evidence", EIB Papers 8, 36-54, 2003

"Deposit Insurance as Private Club: Is Germany a Model?", *Quarterly Review of Economics and Finance* 42, 701-719, 2002

"Legal Theories of Financial Development" (joint with Asli Demirgüç-Kunt and Ross Levine), *Oxford Review of Economic Policy* 17, 483-501, 2001

"New Tools in Comparative Political Economy: The Database of Political Institutions" (joint with George Clarke, Alberto Groff, Philip Keefer and Patrick Walsh), *World Bank Economic Review* 15, 165-176, 2001

"A New Database on Financial Development and Structure" (joint with Aslı Demirgüç-Kunt and Ross Levine), *World Bank Economic Review* 14, 597-605, 2000.

BOOK CHAPTERS:

"Banking in Africa" (joint with Robert Cull), in: Berger, Allen, Phil Molyneux and John Wilson (Eds.): *Oxford Handbook of Banking, 2nd edition,* 2014

"Structural Changes in European Financial Systems: The Impact of the Regulatory Framework on Investment in the European Union" (joint with Franklin Allen and Elena Carletti), In: *Investment and Investment Finance in Europe* (Atanas Kolev, Tanja Tanayama and Rien Wagenvoort, Eds.), European Investment Bank, Luxembourg, 2013

"Finance in the Tropics: Understanding Structural Gaps and Policy Challenges." In: *The Social Value of the Financial Sector: Too Big to Fail or Just Too Big?* (Viral Acharya, Thorsten Beck, Douglas Evanoff, George Kaufman and Richard Portes, Eds.), 2013

"Legal Institutions and Economic Development", in: Dennis Müller (Ed.): *Oxford Handbook of Capitalism*, 38-77, 2012

"The Incentive-Compatible Design of Deposit Insurance and Bank Failure Resolution - Concepts and Country Studies" in: *Who Pays for Bank Insolvency?* Edited by D.G. Mayes and A. Luiksila, Basingstoke: Palgrave-McMillan, 2004

"Financial Structure and Economic Development: Firm, Industry, and Country Evidence" (joint with with Aslı Demirgüç-Kunt, Ross Levine and Vojislav Maksimovic), in: *Financial Structure and Economic Growth: A Cross-Country Comparison of Banks, Markets, and Development*, edited by Aslı Demirgüç-Kunt and Ross Levine, Cambridge, MA: MIT Press, 2001

"The Financial Structure Database" (joint with with Aslı Demirgüç-Kunt, and Ross Levine), in: *Financial Structure and Economic Growth: A Cross-Country Comparison of Banks, Markets, and Development*, edited by Aslı Demirgüç-Kunt and Ross Levine, Cambridge, MA: MIT Press, 2001

BOOKS AND POLICY REPORTS:

Handbook of Finance and Development (jointly edited with Ross Levine), Edward Elgar, 2017

The Palgrave Handbook of European Banking (jointly edited with Barbara Casu), Palgrave, 2016

Making Cross-Border Banking Work for Africa (joint with Michael Fuchs, Dorothe Singer and Makaio Witte), GIZ and World Bank, 2014

The Social Value of the Financial Sector: Too Big to Fail or Just Too Big? (Editor, joint with Viral Acharya, Douglas Evanoff, George Kaufman and Richard Portes), World Scientific Studies in International Economics, 2013

Banking Union for Europe: Risks and Challenges (Editor), Vox eBook, 2012

Financing Africa: Through the Crisis and Beyond (joint with Samuel Maimbo, Issa Faye and Thouraya Triki), World Bank: Washington DC, 2011

Cross-Border Banking in Europe: What's Next? (joint with Franklin Allen, Elena Carletti, Phil Lane, Dirk Schoenmaker and Wolf Wagner). CEPR: London, 2011

The Future of Banking (Editor), Vox eBook, 2011

Bailing out the Banks: Reconciling Stability and Competition (joint with Diane Coyle, Mathias Dewatripont, Xavier Freixas, and Paul Seabright. CEPR, 2010

The Evidence and Impact of Financial Globalization (Editor, joint with Gerard Caprio, Stijn Claessens, and Sergio Schmukler), Elsevier, 2012

Entrepreneurship in Developing Countries (Editor), Edward Elgar Publishing, 2009

Making Finance Work for Africa (with Patrick Honohan). The World Bank, 2007

Finance for All: Policies and Pitfalls in Expanding Access (joint with Aslı Demirgüç-Kunt and Patrick Honohan) Policy Research Report. World Bank, 2007

WORKING PAPERS:

"Finance and Poverty: Evidence from India" (joint with Meghana Ayyagari and Mohammad Hoseini)

"Sharing the Pain? Credit Supply and Real Effects of Bank Bail-ins" (joint with Samuel da-Rocha-Lopes and Andre Silva)

"Lending Concentration, Bank Performance and Systemic Risk: Exploring Cross-Country Variation" (joint with Olivier de Jonghe and Klaas Mulier)

"Informality and Access to Finance: Evidence from India" (joint with Mohammad Hoseini)

"Finance and Demand for Skills: Evidence from Uganda" (joint with Mikael Homanen and Burak Uras)

Presentations at academic conferences

ECB-CFS-Bundesbank Conference on Modernization of Financial Systems; Dubrovnik Economic Conference, Croatia; ISNIE Conference in Tuscon; FIRS Conference in Capri, Italy; 5th Law and Business Conference at Vanderbilt University; 5th Crenos Conference on Finance, Institutions, Technology and Growth in Alghero, Italy; Workshop on Economic Growth and Institutions in Copenhagen; Asia Pacific Finance Association conference 2001 in Bangkok,Thailand; EIB Conference on Europe's Changing Financial Landscape; Bank of Finland workshop on Who Pays for Bank Insolvency? in Helsinki; 4th Annual Conference on Financial Market Development in Emerging and Transition Economies in Santiago de Chile; CfS-Bundesbank Conference on How to Pave the Road to EMU; Federal Reserve Bank of Chicago Conference on Cross-Border Insolvencies; WB/Brookings Conference on Access to Finance; WB/Banco de la República Conference on Access to Finance in Bogota, Colombia; Fedesarrollo Conference on Financial Crises and Policy Responses in Cartagena, Colombia; Fedesarrollo Conference on Financial Recovery, Real Estate Market and Growth in Cartagena, Colombia; ILO Conference on Microfinance in Geneva, Switzerland; Korea Development Institute Conference on Financing Innovation-oriented Businesses to Promote Entrepreneurship; IMF-JBIC Seminar on Policy Challenges and Options for Developing Asia; FMA European Annual Meeting in Barcelona; World Bank/EBRD Conference on Collateral Reform and Access to Finance in London; FIRS Conference in Anchorage; World Bank Conference on Household Finance, Washington, DC; VII Colloquium on Financial Collapse in Ravenna; Financial Services Workshop in Berne; Unicredit Group Conference in Vienna; Royal Economic Society Meetings; CEPR-OeNB conference on bank resolution, Vienna; FIRS conference in Prague, FIRS conference in Minneapolis; Summer Research Conference 2012 in Finance at Centre for Analytical Finance (CAF) at ISB, Hyderabad; World Bank Annual Bank Conference on Development Economics 2012, Washington DC; CEPR-ECB-IU-RoF Conference on SME Finance in Frankfurt; Conference on Household Behaviour in Mortgage and Housing Markets, Oxford; Conference on Finance and the Welfare of Nations, at Federal Reserve Bank in San Francisco; CEPR Anniversary Conference in London; AERC Bi-Annual Meeting in Nairobi; Law and Banking Conference at NYU; China-Europe Conference on Transparency, Economic Institutions and Governance in Rotterdam; MMF-QMUL Policy Seminar in London; 8th South-Eastern European Economic Research Workshop

Presentations at policy conferences

Forum of the Consejo Mexicano de Uniones de Crédito in Guanajuato, Mexico; European Federation of Deposit Insurers Annual Meeting in Palermo, Italy; Joint Vienna Institute, Austria; IMF Institute Singapore; G20 Technical Seminar in Pretoria, South Africa; Competition Conference in Mexico City; KIET International Symposium on Global Competitiveness in Seoul, Korea; World Savings Bank Association Meeting in Brussels; Bank Association Meeting in Medellin, Colombia; 1st UNI-Finance World Conference in Rio de Janeiro; Conference on Banking Stability and Savings Protection in Rome, Italy, G20 Technical Seminar in Bali, Indonesia; DfID workshop in London: Supervision in Low-Income Countries; KIEP-CEPR conference World Economy with the G20, Seoul; Boulder-Bergamo Forum on Access to Financial Services; DIE Workshop on the Doing Business Agenda and Beyond, Bonn; Central Bank of Brazil seminar on bank failure resolution, Rio de Janeiro; OECD Roundtable on Competition and Stability, Paris; AfDB-EMRC SME Forum on Bridging the Missing Middle Gap in Africa, Lisbon; Central Bank of Ireland conference on SME Finance, Dublin; EBRD conference on cross-border banking, London; OeNB Annual Economics Conference, Vienna; WTO roundtable on financial globalization, Geneva; IMF Conference on Financial Deepening in Low-Income Countries Washington, DC; ICFR's 3rd Annual International Regulatory Summit, London; IDRC Conference on From Arab Spring to Economic Spring, Tunis; Deposit Guarantees and Cross-Border Banking Conference, Reykjavik; EIB Roundtable on Banking in Sub-Saharan Africa, Luxembourg; Inaugural meeting of Committee of African Bank Supervisors, Algier; Conference on International Financial Integration and Fragmentation: drivers and policy responses, Banco de España, Madrid; conference on New Dimensions on Measuring and Managing Banks Derivatives Risks, Rome; Sarajevo EFSE Annual Meetings; Oxford Roundtable for African Central Bankers; ECB conference on emerging markets; European Forum in Alpbach, Austria; Annual NBP conference in Warsaw; ECMI conference on missing pieces of the SME financing story, Brussels; Conference on Future Directions for the Irish Economy, Dublin; Europe out of the ashes – or into the fire, conference organized by FORES in Stockholm; G20 Seminar on SME Finance in Riyadh; 30th Progres Seminar of the Geneva Association; ECB High-level Roundtable on overcoming financial fragmentation; International Union of Housing Finance conference in Munich, 2014; European Federation of Deposit Insurers conference in Bucharest; OeNB Workshop on Using Microdata for Microprudential Policy; OeNB Conference on European Economic Integration 2014; OECD 2014 Global Forum on Trade; Finance Watch panel discussion "What finance for what growth?" in Brussels; CEPR Roundtable 2015; Launch event for DFID financed Business Finance for the Poor Project in Dhaka, Bangladesh, 2015; IADI Regional Conference

Eberhard-Karls-Universität Tübingen

Prüfungsamt für Wirtschaftswissenschaftliche Diplomprüfungen

DIPLOM

THORSTEN BECK

geboren am 19.11.67 in HAMBURG

hat am 18. Mai 1995 die

DIPLOMPRÜFUNG FÜR VOLKSWIRTE

gemäß der Prüfungsordnung vom 28. August 1991 mit dem Gesamturteil

SEHR GUT

bestanden. Auf Grund dieser Prüfung wird der akademische Grad

DIPLOM-VOLKSWIRT

verliehen.

Tübingen, den 18. Mai 1995

Der Vorsitzende des
Prüfungsausschusses

(Professor Dr. JOACHIM STARBATTY)

The University of Virginia



The General Faculty of the University of Virginia
have conferred the degree of

Doctor of Philosophy
Economics

upon

Thorsten Harald Leopold Beck

who has completed the courses prescribed for this degree,
In Testimony Whereof the General Faculty have caused this
Diploma to be issued, verified by the signatures of the
President of the University and the Dean of the School,
and under the corporate seal of the University, attested
by the Registrar, at Charlottesville, Virginia, this the
twenty-third day of May, 1999 and in the two hundred
twenty-third year of the Commonwealth.

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Registrar

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Melvyn P. Leffler
Dean

Schule SCHEFFOLD-GYMNASIUM SCHWÄBISCH GMÜND

Baden-Württemberg

Zeugnis

der allgemeinen Hochschulreife

Vor- und Zuname Thorsten Harald Leopold B e c k

geboren am 19.11.1967

geboren in Hamburg

wohnhaft in 7070 Schwäbisch Gmünd

hat die Oberstufe des Gymnasiums besucht, die Abiturprüfung bestanden und damit die Befähigung zum Studium an einer Hochschule in der Bundesrepublik Deutschland einschließlich Berlin (West) erworben.

Dem Zeugnis liegen folgende Vereinbarungen und Verordnungen zugrunde:

1. Die „Vereinbarung zur Neugestaltung der gymnasialen Oberstufe in der Sekundarstufe II"
(Beschluß der Kultusministerkonferenz vom 7. Juli 1972 in Verbindung mit dem Beschluß vom 27./28. Mai 1982)
2. Die „Vereinbarung über die Abiturprüfung der neugestalteten gymnasialen Oberstufe in der Sekundarstufe II"
(Beschluß der Kultusministerkonferenz vom 13. Dezember 1973 in der jeweils gültigen Fassung)
3. Die Beschlüsse zur „Einheitlichen Durchführung der Vereinbarung zur Neugestaltung der gymnasialen Oberstufe"
(Beschluß der Kultusministerkonferenz vom 2. Juni 1977 in der jeweils gültigen Fassung)
4. Die Verordnung des Ministeriums für Kultus und Sport Baden-Württemberg über die Jahrgangsstufen 12 und 13 sowie über die Abiturprüfung an Gymnasien der Normalform und Gymnasien in Aufbauform mit Heim (NGVO) vom 20. April 1983 (K. u. U. S. 367)
in der jeweils gültigen Fassung

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▶ Zugangsnummer (CAN): 126324

P<D<<BECK<<THORSTEN<HARALD<LEOPOLD<<<<<<<<<<
C4YM1NHWW7D<<6711193M2806240<<<<<<<<<<<<<<4

UNIVERSIDAD DE CHILE
CONTRALORIA

CONUCHILE (O) N° 572

ANT. : Resolución N°199 de fecha 15 de noviembre de 2018.

MAT. : Cursa con alcance Resolución que indica.

SANTIAGO, 20 NOV 2018

DE : CONTRALORA UNIVERSITARIA (S)

A : DECANO DE LA FACULTAD DE ECONOMÍA Y NEGOCIOS

Esta Contraloría Universitaria ha dado curso a la resolución del Antecedente, por esta única vez, pero cumple con informar que, aún cuando se trate de contrataciones con personas extranjeras, se debe dar cumplimiento a lo establecido en el artículo 4 de la ley 19.886 de Bases sobre contratos administrativos de suministro y prestación de servicios, relacionado con los artículos 66 y 80 de su reglamento, en el sentido de acreditar la habilidad del proveedor para contratar con la Administración.

Asimismo, se indica que deben aprobarse por la resolución que autoriza la contratación, los términos de referencia, necesarios en toda contratación efectuada por trato directo, según lo dispone al artículo 57 letra d) del reglamento de la Ley 19.886 de Bases sobre Contratos Administrativos de Suministro y Prestación de Servicios, Decreto N° 250 de 2004, Ministerio de Hacienda.

Adicionalmente se informa que, al tratarse de contrataciones de servicios personales como la de este asunto, se debe dar estricto cumplimiento a lo establecido en los artículos 105 y ss. del precitado Reglamento, en el sentido de clasificar los servicios a contratar según sus características, debiendo cumplir, según aquellas, tanto el procedimiento de contratación como la resolución que lo autoriza con los requisitos allí establecidos.

Finalmente, y en concordancia con lo establecido en el artículo 62 número 6 parte final, esta contraloría le recuerda que la resolución que aprueba la contratación, los términos de referencia y demás antecedentes necesarios, deben igualmente ser publicados en el sistema de información.

Sin otro particular, saluda atentamente a usted,

MAGDALENA GANDOLFO G.
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